

# UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS

Box PATENT APPLICATION

Washington D.C. 20231

Case Docket No.: P-151

Transmitted herewith for filing is the patent application of

INVENTOR OR APPLICATION IDENTIFIER: Ji Eun LEE and Hyeon Jun KIM

FOR: METHOD FOR QUANTIZATION OF HISTOGRAM BIN VALUE OF IMAGE

Enclosed are:

1. [X] 13 pages of specification, claims, abstract
2. [X] 3 sheets of FORMAL drawing.
3. [X] 2 pages of newly executed Declaration & Power of Attorney (original).
4. [X] Priority Claimed to Korean Appln. No. 51428/1999, whose entire disclosure is incorporated herein by reference.
5. [ ] Small Entity Statement.
6. [ ] Information Disclosure Statement, Form PTO-1449 and reference.
10. [X] Authorization under 37 C.F.R. §1.136(a)(3).
11. [X] Other: Preliminary Amendment
7. [X] Assignment Papers for LG Electronics Inc. (cover sheet, assignment & assignment fee).
8. [X] Certified copy of Korean Patent Application No. 51428/1999 filed November 19, 1999.
9. [X] Two (2) return postcards.  
[X] Stamp & Return with Courier.  
[X] Prepaid Postcard-Stamped Filing Date & Returned with Unofficial Serial Number.

## CLAIMS AS FILED

For	No. Filed		No. Extra	Rate	Fee
Total Claims	12	- 20	0	X \$18.00	\$0.00
Indep. Claims	1	- 3	0	X \$80.00	\$0.00
Multiple Dependent Claims (If applicable)				X \$270.00	\$0.00
				BASIC FEE	\$710.00
				TOTAL FILING FEE	\$710.00

[X] This is a Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ filed \_\_\_\_\_. Incorporation By Reference-The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[ ] Amend the specification by inserting before the first line the sentence:

--This application is a continuation-in-part of Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.--

[X] A check in the amount of \$710.00 (Check #9753) is attached.

[X] Please charge my Deposit Account No. 16-0607 in the amount of \$\_. A duplicate copy of this sheet is enclosed.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy is enclosed.

[X] Any additional filing fees required under 37 C.F.R. 1.16.

[X] The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy of this sheet is enclosed.

[X] Any patent application processing fees under 37 C.F.R. 1.17.

[X] Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

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Date: November 16, 2000

U.S. PTO  
09/712932

11/16/00

Docket No.: P-151

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :  
:   
Ji Eun LEE and Hyeon Jun KIM :  
:   
Serial No. New U.S. Patent Application :  
:   
Filed: November 16, 2000 :  
:   
For: METHOD FOR QUANTIZATION OF HISTOGRAM BIN VALUE OF :  
IMAGE

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Prior to initial examination on the merits, please amend the above-identified application as follows:

**IN THE CLAIMS:**

**Please amend claim 7 as follows:**

Claim 7, line 1, delete "to 6".

**REMARKS**

Claims 1-12 are pending. Claim 7 has been amended to eliminate the multiple dependency. Prompt examination and allowance in due course are respectfully solicited.

Respectfully submitted,  
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**Date: November 16, 2000**

# METHOD FOR QUANTIZATION OF HISTOGRAM BIN VALUE OF IMAGE

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The present invention relates to a method for quantization of a histogram bin value of an image or video, and more particularly, to a method for non-uniform quantization of a color histogram bin value of an image (or video) according to the frequency of color occurrence.

### 2. Description of the Background Art

Conventionally, it is possible to reduce the amount of information for expressing a color histogram of an image if the color histogram bin value is quantized. However, the color histogram bin value is merely quantized uniformly, irrespective of the frequency of color occurrence in images.

Figure 1 is a view illustrating an example of a table of uniform quantization of a color histogram bin value according to the conventional art. In other words, the color histogram bin value is quantized by partition into uniform intervals.

For example, when the color histogram bin value ranges from 0 to 1 and the bin value is expressed by 16 numbers of branch using 4-bits, it is quantized by partition into uniform sections of 0.0625 ( $1/16 = 0.0625$ ).

However, the color histogram bin value is generally low in natural image(In Figure 2, for example, there is almost no bin having a bin value of more than 0.1), particularly, bins having a bin value of 0 occupy the most part of the whole color histogram bins(In Figure 2, for example, more than 95% of the whole color

histogram bins).

Therefore, a color histogram bin value near to 0 has to be quantized finely by a large number so that the intervals of the bin value are small. In addition, a color histogram bin value near to 1 can be quantized coarsely by a small number so that the intervals of the bin value are large .

However, as described above, in the method for quantization of a color histogram bin value according to the conventional art, there arises a problem that the information of the color histogram is lost, since the color histogram is uniformly quantized irrespective of the frequency of the color histogram bin value.

For example, if the color histogram bin value is quantized without considering its characteristics, such as the existence or non-existence of a certain particular color in a certain image and the frequent occurrence or infrequent occurrence of a color in the image, the unique information of the color histogram, which is an important information as one of the characteristics of the image, cannot be precisely preserved.

In addition, since the unique information of the color histogram cannot be precisely preserved, it causes a problem that it is difficult for the information about quantization of color histogram bin value to obtain sufficient reliability in reflecting the characteristics of the image, where the information about quantization of color histogram bin value is usually used as a characteristic information of an image. In addition, if the information poorly reflecting the color histogram characteristics of the image is used, the performance of image searching is degraded for the image retrieval.

In addition, if the information poorly reflecting the color histogram characteristics of the image is used, there arises a problem that the image

characteristics are not consistent with the visual/sensible characteristics of a human being.

## SUMMARY OF THE INVENTION

Accordingly, it is an object of the present invention to provide a method for quantization of a color histogram bin value of an image(or video) in which the color histogram bin value is non-uniformly quantized in consideration of the frequency of color occurrence.

It is another object of the present invention to provide a method for quantization of a color histogram bin value of an image in which the characteristics of the color histogram reflects well the characteristics of the image by means of non-uniformly quantizing the color histogram bin value of the image(or video) in consideration of the frequency of color occurrence.

It is another object of the present invention to provide a method for quantization of a color histogram bin value of an image in which the image (or video) retrieval performance is improved by applying the quantization of the color histogram bin value.

It is another object of the present invention to provide a method for quantization of a color histogram bin value of an image which makes it possible to construct a database of the information of the characteristics of the image, that is consistent with the visual/sensible characteristics of a human being by applying the quantization of the color histogram bin.

It is another object of the present invention to provide a method for quantization of a color histogram bin value of an image which can improve the

performance of the image retrieval with the same amount of information in representing and storing the color histogram as that in the conventional quantization method.

To achieve the above object, there is provided a method for quantization of a color histogram bin value of an image which is achieved by non-uniformly quantizing the color histogram bin value according to the frequency of color occurrence.

To achieve the above object, there is provided another method for quantization of a color histogram bin value of an image which is achieved by uniformly quantizing the color histogram bin values into a large number of sections if the bin value is greater than '0' and less than a predetermined threshold, mapping the color histogram bin values into a single quantum if the bin value is greater than the threshold and mapping the color histogram bin value '0' into a single quantum.

To achieve the above object, there is provided another method for quantization of a color histogram bin value of an image which is achieved by non-uniformly quantizing the section where the color histogram bin value of the image is greater than '0' and less than a predetermined threshold of the color histogram bin value of the image.

Additional advantages, objects and features of the invention will become more apparent from the following description

## BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will become better understood with reference to the

accompanying drawings which are given only by way of illustration and thus are not limitative of the present invention, wherein:

Figure 1 is a view illustrating an example of a table of uniform quantization of a color histogram bin value according to the conventional art;

Figure 2 is a view illustrating a percentage distribution of bins with respect to a color histogram bin value of an image according to an embodiment of the present invention; and

Figure 3 is a view illustrating a table of non-uniform quantization of the color histogram bin value of the image according to the embodiment of the present invention.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figure 2 is a view illustrating a percentage distribution of bins with respect to a color histogram bin value of an image according to an embodiment of the present invention. In other words, it is a view illustrating an example in which the color histogram bin values of 512 colors in HMMD (Hue/Max/MIN/Difference) color space are calculated with 5466 images, and the frequency of color occurrence with respect to the calculated bin values is expressed as a percentage.

Here, the above bin value is a normalization value of the frequency of color occurrence and each bin value is ranged from 0 to 1.

As illustrated therein, the distribution of the color histogram bin values shows the characteristics of the color distribution. In other words, the occurrence of the color histogram bin value of '0' is very frequent (e.g., the bins having a bin value of 0 account for 95% of all color histogram bins). And the occurrence of the

color histogram bin value of '0.1' is very rare (there is almost no bins having a bin value of more than 0.1). In other words, when a natural visual image (or video) of a scenery, person, object, etc. is represented as a normalized color histogram, most of color histogram bins have a value of '0', and few of color histogram bins have a value greater than 0.1.

Here, the fact that the color histogram bin value is '0' means that the color corresponding to that bin is not existed in an image. In particular, it can be known that there are a few bins having a value of more than a predetermined threshold (e.g. 0.1).

Figure 3 is a view illustrating a table of non-uniform quantization of the color histogram bin value of the image according to the embodiment of the present invention, which will now be described in detail with reference to Figure 2.

As illustrated therein, in the case where the color histogram bin value is non-uniformly quantized according to the color histogram bin value, the characteristics of the color histogram can be expressed more effectively as compared to the uniform quantization of a bin value according to the conventional art.

Particularly, if the color histogram bin value is '0', it can be the important information that the colors according to the bins don't appear in the image and the majority of all bins in the color histogram have a bin value of '0', therefore, the color histogram bin value of '0' is mapped into a single quantum in quantizing the color histogram bin value. In real implementation, for a practical reason, '0' may be considered to the range between '0' and a number that is very close to '0' (e.g. 0.000000001).

Hence, since there are a few bins having a color histogram bin value of more than a predetermined threshold (0.1 in Figure 2), that is, only a few colors have a high frequency of occurrence in the image, , the all bin values of more than



a predetermined threshold are mapped into a single quantum.

In addition, if the color histogram bin value is '0', it is expressed as quantum value (binary number 0000). The color histogram bin values greater than '0.1' (0.1~1.0) are expressed as quantum value '1' (binary number 1111). The color histogram bin value ranging from 0.0001 to 0.0999 (That is, the value of more than '0' and less than a threshold) is quantized as a plurality of appropriate sections, that is, divided finely.

Meanwhile, when a non-uniform quantization of the color histogram bin value is conducted, an uniform quantization can be conducted in the sections in which the color histogram bin value is greater than '0' and less than the threshold (0.0999).

However, to improve performance further, in the sections in which the color histogram bin value is greater than '0' and less than the threshold (0.0999), a non-uniform quantization can be conducted in consideration of the frequency of color occurrence.

As described above, the present invention has an effect of preserving the characteristics of the color histogram of a image(or video) better as compared to the conventional art, by means of non-uniform quantization to a bin value in consideration of the frequency of color occurrence of the image, e.g., the distribution of color histogram bin values.

In addition, when an image(or video) retrieval is conducted on the information of the color histogram representing effectively the characteristics of the color histogram of the image, the performance of image retrieval can be improved.

In addition, the performance of image retrieval can also be improved by means of the same amount of information as in the method for uniform quantization of a bin value according to the conventional art.

For example, the bin value range which a large number of bins belong to is divided into a plurality sections and is quantized finely, and the other side, the bin value range which a small number of bins belong to is quantized as a single section (In the conventional art, the color histogram bin value is uniformly quantized irrespective of the frequency of color histogram bin value). That is, if the range which a large number of bins belong to is divided into a plurality of sections and is quantized, then the original color information of the image(video) can be preserved well, and therefore the performance of the image retrieval is improved when the image(video) retrieval is conducted.

In addition, there is an effect of reducing the amount of information representing the characteristics of the color histogram of the image so as to acquire the same performance of the image retrieval as in the conventional method for uniform quantization of a bin value,

For example, while 100 bytes are used to store the information of the uniform quantization of a color histogram bin value in the conventional art, a space smaller than 100 bytes can be used to store the same information in the method for non-uniform quantization of a color histogram bin value of an image according to the present invention. The reason thereof is because the bin value is expressed as a single bin or a few bins in the method for non-uniform quantization of a high bin value (e.g. the value greater than 0.1), while the bin value is expressed as a plurality of quanta in the method for uniform quantization of bins having a bin value of more than a threshold, thus making it possible to reduce the storage.

Moreover, in the case that a database is constructed by gathering information of the image characteristics, there is an effect of generating information more consistent with the visual/sensible characteristics of a human being by including the color histogram adapted by quantization of a bin value

according to the present invention to the constructed database.

The present invention is not limited to the normal color histogram. Any histogram type descriptor can be applied in the present invention by analyzing histogram bin value distribution. As another embodiment of applying the present invention instead of the described normal color histogram, a non-uniform color structure histogram bin value quantization is described as follows.

The color structure histogram is computed by visiting (a subset of) all locations in the image, retrieving colors  $C_m$  of all pixels contained in the structure elements (e.g. 8 by 8 window) overlaid on each location, and incrementing the bin value corresponding to color  $C_m$ . After accumulating the bin values, they are non-uniformly quantized in to an 8-bit value as follows.

After normalizing bin values by dividing theoretic maximum bin value, each bin value becomes the number between 0 and 1. Then, the bin value range is divided into 6 regions, and subsequently a different number of quantization levels is allocated uniformly to each region. The thresholds defining each region of the bin value range (between 0.0 and 0.1) are:  $th_0=0.000000001$ ,  $th_1=0.037$ ,  $th_2=0.08$ ,  $th_3=0.195$ , and  $th_4=0.32$ . The numbers of quantization levels allocated to each region are described in the following table:

Region	Number of levels
0	1
1	25
2	20
3	35
4	35
5	140

As the present invention may be embodied in several forms without departing from the spirit or essential characteristics thereof, it should also be understood that the above-described embodiments are not limited by any of the details of the foregoing description, unless otherwise specified, but rather should be construed broadly within its spirit and scope as defined in the appended claims, and therefore all changes and modifications that fall within the meets and bounds of the claims, or equivalences of such meets and bounds are therefore intended to be embraced by the appended claims.

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What is claimed is:

1. A method for quantization of a histogram bin value of an image, characterized in that: the range of the histogram bin value is non-uniformly quantized according to the frequency of occurrence.

2. The method according to claim 1, wherein the range varies according to predetermined thresholds of the histogram bin value.

3. The method according to claim 1, wherein the value having a histogram bin value of '0' is mapped into a single quantum, equivalent to a code value.

4. The method according to claim 1, wherein the values having a histogram bin value between '0.0' and a very close number of '0.0' is mapped into a single quantum, equivalent to a code value.

5. The method according to claim 2, wherein the values having a histogram bin value of more than the largest predetermined threshold are mapped into a single quantum, equivalent to a code value.

6. The method according to claim 5, wherein, when the range of the respective bin value of the histogram is normalized as the range of values from 0 to 1, the largest predetermined threshold is a value ranging from 0.1 to 1.

7. The method according to claim 1 to 6, wherein the histogram is a color histogram.

8. The method according to claim 7, wherein the histogram is a color structure histogram.

9. The method according to claim 2, wherein the range having a bin value of greater than '0' and less than the largest threshold is uniformly quantized into a plurality of sections.

10. The method according to claim 2, wherein the range having a bin value of greater than '0' and less than the largest threshold is non-uniformly quantized.

11. The method according to claim 10, wherein sub-ranges divided by the remaining thresholds are uniformly quantized into a plurality of sections.

12. The method according to claim 10, wherein the range having a bin value of greater than '0' and less than the largest threshold is from 0.0001 to 0.0999.

## ABSTRACT OF THE DISCLOSURE

The present invention relates to a method for quantization of a color histogram bin value of an image or video, and more particularly, to a method for non-uniform quantization of a color histogram bin value of an image (or video) according to the frequency of color occurrence. The present invention has effects of effectively representing the characteristics of the color histogram of the image better as compared to the conventional art, and improving the performance of the image retrieval when the image(video) retrieval search is conducted.

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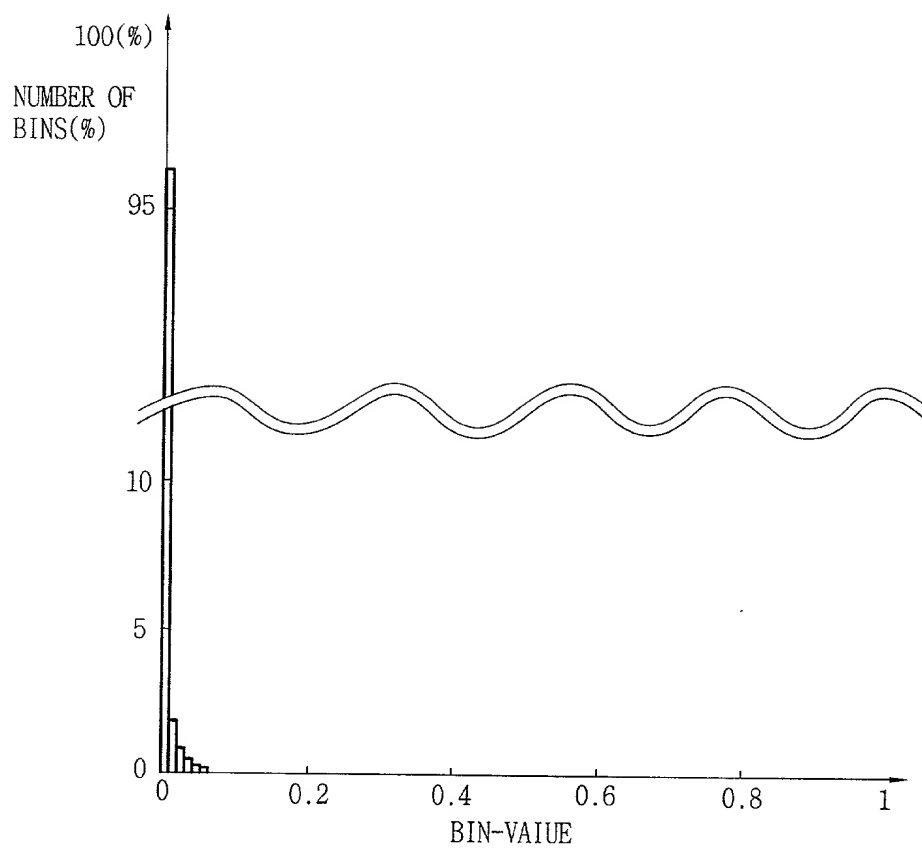
FIG. 1  
CONVENTIONAL ART

16 LEVEL( $2^4=4$ bits) QUANTIZATION OF UNIFORM QUANTIZATION

BINARY NOTATION OF QUANTUM VALVE	QUANTUM VALVE	QUANTIZATION SECTION
0000	0	0.0000 ~ 0.0624
0001	1/15=0.0667	0.0625 ~ 0.1249
0010	2/15	0.1250 ~ 0.1874
0011	3/15	0.1875 ~ 0.2499
0100	4/15	0.2500 ~ 0.3124
0101	5/15	0.3125 ~ 0.3749
0110	6/15	0.3750 ~ 0.4374
0111	7/15	0.4375 ~ 0.4999
1000	8/15	0.5000 ~ 0.5624
1001	9/15	0.5625 ~ 0.6249
1010	10/15	0.6250 ~ 0.6874
1011	11/15	0.6875 ~ 0.7499
1100	12/15	0.7500 ~ 0.8124
1101	13/15	0.8125 ~ 0.8749
1110	14/15	0.8750 ~ 0.9374
1111	1	0.9375 ~ 1.0000



FIG. 2



# FIG. 3

16 LEVEL( $2^4=4\text{bits}$ ) QUANTIZATION OF UNIFORM QUANTIZATION

BINARY NOTATION OF QUANTUM VALVE	QUANTUM VALVE	QUANTIZATION SECTION
0000	0	0
0001	$1/15=0.0667$	0.0001 ~ 0.0071
0010	$2/15$	0.0072 ~ 0.0142
0011	$3/15$	0.0144 ~ 0.0214
0100	$4/15$	0.0215 ~ 0.0285
0101	$5/15$	0.0286 ~ 0.0356
0110	$6/15$	0.0357 ~ 0.0428
0111	$7/15$	0.0429 ~ 0.0499
1000	$8/15$	0.0500 ~ 0.0570
1001	$9/15$	0.0571 ~ 0.0642
1010	$10/15$	0.0643 ~ 0.0713
1011	$11/15$	0.0714 ~ 0.0784
1100	$12/15$	0.0785 ~ 0.0855
1101	$13/15$	0.0856 ~ 0.0927
1110	$14/15$	0.0928 ~ 0.0999
1111	1	0.1000 ~ 1.0000

Docket No.: \_\_\_\_\_

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled  
METHOD FOR QUANTIZATION OF HISTOGRAM BIN VALUE OF IMAGE

\_\_\_\_\_, the  
specification of which

[ X ] is attached hereto [ ] was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was  
amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365 (b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s):**

<u>Number</u>	<u>Country</u>	<u>Foreign Filing Date</u> <u>Month/Day/Year</u>
51428/1999	Korea	11/19/1999

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

<u>Application Number(s):</u>	<u>Filing Date (Month/Day/Year)</u>

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

**Prior U. S. Application**

<u>or PCT Parent Number</u>	<u>Filing Date (Month/Day/Year)</u>	<u>Parent Patent Number</u>
(if applicable)		

I hereby appoint the following attorney(s) and/or agent(s): Daniel Y.J. Kim, Registration No. 36,186 and Mark L. Fleshner, Registration No. 34,596; Carl R. Wesolowski, Registration No. 40,372, John C. Eisenhart, Registration No. 38,128, Rene A. Vazquez, Registration No. 38,647; Michael J. Cornelison, Registration No. 40,395; and Stuart I. Smith, Registration No. 42,159; and Carol L. Druzbeck, Registration No. 40,287, all of

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with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future correspondence should be addressed to them.

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November 16, 2000

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VIA FACSIMILE & AIRMAIL

Re: U.S. Patent Application Serial No. 09/223,188  
For: APPARATUS FOR SAILS  
Inventor: Gordon ROSS  
Your Reference: adp.18.us.dak  
Our Reference: KC-003

Dear David:

As instructed in your November 1, 2000 letter, we paid the Issue Fee in connection with the above-identified application on November 16, 2000. We also submitted the Substitute Declaration forwarded with your November 1 letter. We enclose herewith copies of the Issue Fee Transmittal and Declaration Transmittal for your files.

The U.S. patent should issue within about one to three months, and we will advise you as soon as we receive a notice from the USPTO as to the issue date.

Very truly yours,  
FLESHNER & KIM, LLP

Daniel Y.J. Kim, Esq.

Encs: Issue Fee Transmittal  
Submission of Substitute Declaration

DYK:kum